

## Nicholas Wendland Of Counsel

[nicholas.wendland@foley.com](mailto:nicholas.wendland@foley.com)

Chicago

312.832.4535



Nicholas Wendland focuses his practice on global regulations for both securities and derivatives and helps financial institutions achieve their business goals while staying compliant to federal securities, futures, and commodities laws and regulations.

Prior to joining Foley, Nicholas worked at the New York Stock Exchange (NYSE) and at the Financial Industry Regulatory Authority (FINRA) where he developed trade surveillance systems dedicated to detecting violations of exchange regulations and federal rules, developed written supervisory procedures, and directed investigations of the trading activity of member firms. He utilizes his experience at the NYSE and the FINRA to best support and defend his clients today.

Nicholas also has experience in equities, futures, fixed income, swaps, and foreign exchange through a previous role as a former general counsel and chief compliance officer for a global trading financial institution. He also served as an extern to Chief Judge Rebecca Pallmeyer of the U.S. District Court for the Northern District of Illinois.

### Affiliations

- Member, Futures Industry Association
- Member, Chicago Bar Association

### Practice Areas

- [Litigation](#)
- [Securities Enforcement & Litigation](#)

### Education

- Chicago-Kent College of Law (J.D., cum laude, 2007)
- University of St. Thomas (B.A., 2003)

## **Admissions**

- Illinois
- S. District Court for the Northern District of Illinois